



DIA: Information Technology Initiatives in Drug Registration Systems:

Electronic Submissions in CBER

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Strategic Goals

- **A managed and integrated regulatory process from discovery through post marketing**
- **A high quality research program which contributes directly to the regulatory mission**
- **A high quality and diverse work force**
- **Interactive information systems which are integral to all CBER activities**
- **Leveraged resources**



Goal: A managed and integrated regulatory process which is continuous from discovery through post-marketing

Strategies:

- Apply the concepts of managed review to the entire regulatory process
- Continually improve the regulatory process
- Assure an accountable management that promotes teamwork at all staff levels



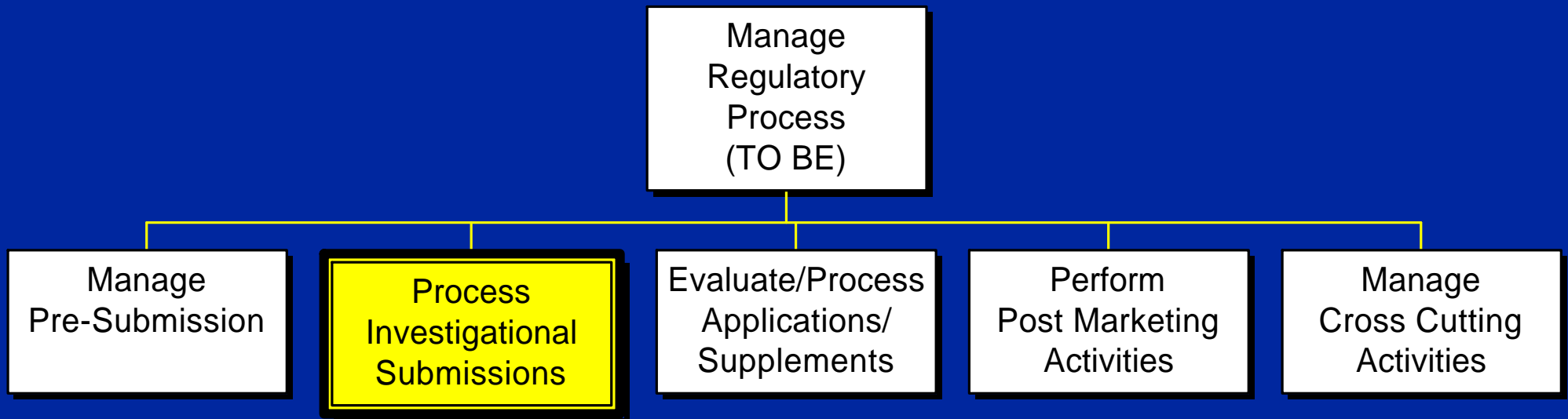
Project Plan

- **Develop a business model of CBER's regulatory process - DONE**
- **Identify weaknesses/bottlenecks in the current regulatory process - DONE**
- **Propose and evaluate solutions to overcome these weaknesses/bottlenecks - DONE**
- **Design a new, streamlined Managed Review Process (MRP) - DONE**
- **Identify performance goals in order to expand the MRP (in Progress)**



Manage Regulatory Process

A Managed and Integrated Regulatory Process Which is Continuous from Discovery Through Post Marketing



Phase 1



**Goal: Interactive information systems
are integral to all CBER
activities**

Strategies:

- Fully integrate information systems to support a seamless regulatory process**
- Support the development and use of interactive databases**
- Capitalize on information related initiatives outside of CBER**



Significant Accomplishments in Information Technology

- **Desktop Standardization**
- **Electronic Submissions - BLAs, INDs**
- **Document Management Technology**
- **Regulatory Management System**
- **Electronic Lot Release (note: 26% reduction in Review Days)**



Legislative Background for e-Submissions

- **PDUFA I - 1992**
- **FDA Submission Management and Review Tracking Program (SMART) Program - 1994**
- **REGO Initiatives- 1995**



Legislative Background for e-Submissions

- **Paper Reduction Act- 1995**
- **Electronic FOI Act- 1996**
- **e-Records: e-Signatures Reg
21CFR11- 1997**
- **FDA Modernization Act - 1997/
PDUFA II- 1997**

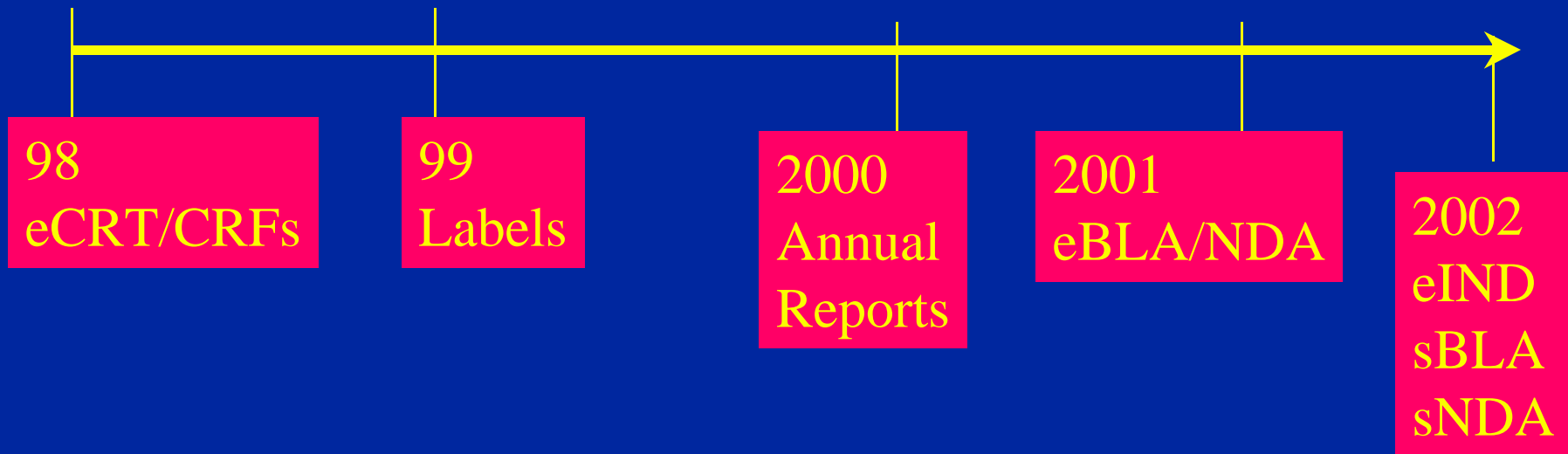


FDA Modernization Act

- **Section G of the Act:**
 - Update IT Infrastructure
 - eINDs by 2002
- **PDUFA II commitments**



PDUFA II Timeline



For Electronic Submissions



CBER Operating Principles/Preferences

- **Electronic archive copy = electronic review copy (No field or desk copies)**
- **Archival and review interim standards:**
 - **PDF for text/documents**
 - **SAS for data**
- **We discourage customized submissions or reviewer electronic aids**
- **We discourage sponsor supplied hardware and software**



Difficulties with Customized CALAs

- Conflicts with other applications and LAN
- Reviewer cannot leverage reuse of what is learned
- Archive and retrieval problems
- Difficult to respond to eFOIA requests
- Validation problems between paper CRTs, customized submissions, SAS datasets



Standardizing the Electronic Format

- **The harmonized FDA Form 356h for the eBLA**
- **Form 1571 and 1572 for the eIND**
- **Adobe PDF Format for Text**
- **SAS files for Data**



Projects we are working on for a successful e-Submissions Program

- **Functional eDCC/Library**
- **E-mail to exchange complex documents and data files (e.g., PDF, SAS).**
- **LAN servers with back-ups**
- **Robust Center networks**



Projects we are working on for a successful e-Submissions Program

- **Training for Adobe Exchange, JMP, application help teams**
- **Dual monitors for some reviewers**
- **Documents for licensed review (letters, reviews, labels, SBAs) need to be available electronically for eFOIA and AERS**

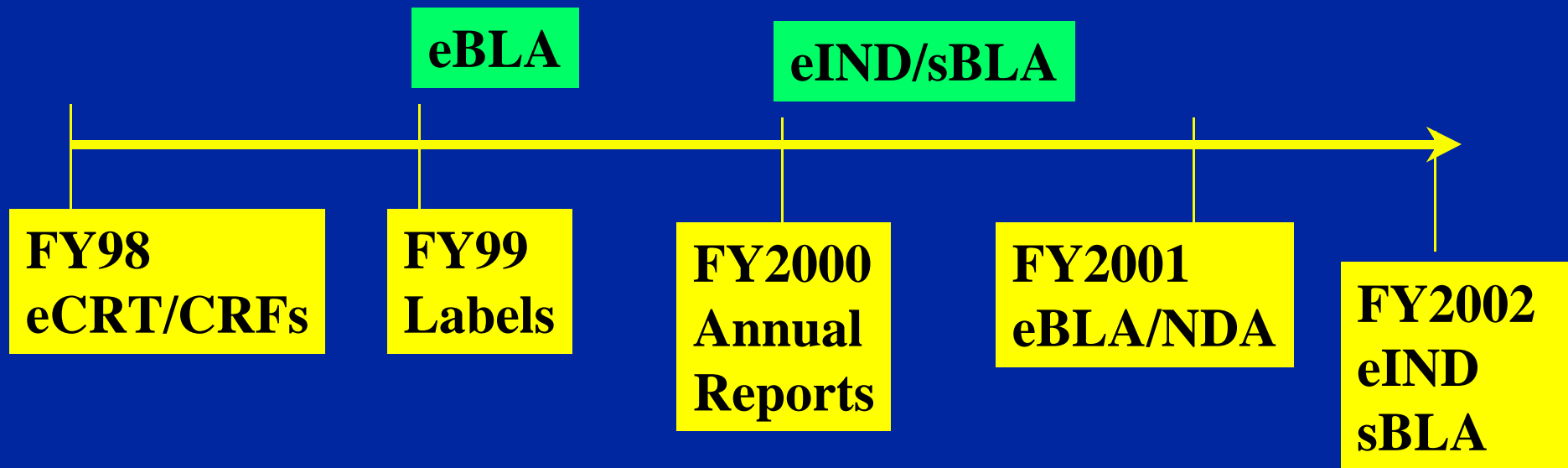


Approaches to Paper-less Receipt of Applications

- **Approach I: Issue guidance for receipt of entire application**
 - current CBER approach
- **Approach II: Issue guidance incrementally**



Approach I. Meeting or Beating the Deadlines



For Electronic Submissions

